## INDEX FOIA Request No. 2015-000048

Unfair Labor Practice Charge, dated 6-21-12 Opening letter, dated June 26, 2012, with attachments Letter to Bruce Appel, dated August 24, 2012 ULP Data Entry Form in Case No. DA-CA-12-0385 SPD T&L 046



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY

## CHARGE AGAINST AN AGENCY

FOR FLRA USE ONLY

	JUN 2 1 9012
Complete instructions are on the back of this form.	JUIL & 1 FAIL
1. Charged Activity or Agency	2. Charging Party (Labor Organization or Individual)
Name: Department of Veterans Affairs	Name: AFGE Local 2201
Address: 1100 North College Av. Fayetteville, AR 72701	
32.11.1.290.001.001,746.72701	Address: 1100 North College Av. Fayetteville Ar
Tet.#: (479) 443-4301 Ext. 65000	Tel.#: (470) 443 4204 Evt 65400
Fax#:	lel.#: (479) 443-4301 Ext. 65100 Fax#:
3. Charged Activity or Agency Contact Information	
Name: Dr. Enderle	4. Charging Party Contact Information
Title: Director Health Care System of the Ozarks	Name: Bruce Appel
Address: 1100 North College Ave. Fayetteville, Ar. 2701	Title: AFGE Local 2201 Firts Vice President
1700 North College Ave. 1 ayeueville, Ar. 2701	Address: 1100 North College Ave. Fayetteville, AR 72701
Tel.#: (479) 443-4301 Ext. 6500 Fax#:	Tet.#: (479) 443-4301 Ext. 65100
5. Which subsection(s) of 5 U.S.C. 7116(a) do you believe have been violate	d? [See reverse] (1) and 5,8
6. Tell exactly WHAT the activity (or agency) did. Start with the DATE and	
On 5/29/2012, I was representing an amplaced division of the part and	LOGATION, State WHO was involved, including titles.
On 5/29/2012, I was representing an employee, during a verbal coincident, though no documentation of the previous incident was pro	ounseling. In the counseling, reference was made to a prior
On 6/05/2012, I filed a first step grievance per our contract was provided about the previous incident that was referred to it. Asking	ovided. ( attachmnet A)
provided about the previous incident that was referred to in the cou	Junal It it be dropped, in part because no documentation was
On or 12/2012 Heceived an answer denving my grievance in the	depict reference was a second of the second
On 6/14/2012, I went to Human Resources to get a convint the evi-	idence file as is our quotomos months.
On 5/14/2012 I submitted a request for information, to get the file of	on the Fact Finding ( a formal process) years by manness (
- 10001760 and Hildli 0/10/2012 from Kathryn Fritz, the immediate r	Supportant that are provided the second
The state of the s	ant Com Commission 1/ 11 1 - 1 - 1
about an incident, a work schedule, an e mail thread, and a surger (attachment G).	y schedule. The information i asked for was not provided.
It is the contention of AFGE Local 2201 that the Agency denied a very why the time frame could not be met and then when three days let	alid request of information by, first, not providing a valid reason
why the time frame could not be met, and then when three days late Eact Finding.	er information was provided, it did not include information from a
Further, the Agency failed to negotiate in good faith during the neg	ntinting
Further, the Agency failed to negotiate in good faith during the neg does not exist, and trying to use evidence against a bargaining unit untrue.	tomating process of this grievance, by referencing material that
AFGE Local 2201 is not asking the FLRA to look at the merits of the to comply with a valid request for information, in a truthful manner.	e drievance, but cimply to address the sail (
to comply with a valid request for information, in a truthful manner.	o griovance, but simply to address the reluctance of the agency
7. Have you or anyone else raised this matter in any other procedure? No.	
	11 July 1110.0. [000 10 verse]
8. I DECLARE THAT I HAVE READ THIS CHARGE AND THAT THE STAT BELIEF, I UNDERSTAND THAT MAKING WILLFULLY FALSE STATEMEN	EMENTS IN IT ARE TRUE TO THE BEST OF MY KNOW! FOCE AND
BELIEF, LUNDERSTAND THAT MAKING WILLFULLY FALSE STATEMEN  1001. THIS CHARGE WAS SERVED ON THE PERSON IDENTIFIED UNDOX #3	10 OPH DEFENDED BY FINE AND IMPRISONMENT, 18 U.S.C.
	BY [dheck "k" box] Fax I 1st Class Mail In Person
Commercial Delivery Certified Mail	
Bruce Appel	UV 0040/0040
Type or Print Your Name	06/18/2012



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY DALLAS REGION

A. Maceo Smith Federal Building

525 S. Griffin Street, Suite 926, LB 107 Dallas, Texas 75202-5093 (214)767-6266 FAX: (214)767-0156 www.flra.gov

June 26, 2012

Bruce Appel First Vice President AFGE Local 2201 1100 North College Ave Fayetteville, AR 72701

Mark Enderle Director VAMC 1100 North College Ave Fayetteville, AR 72701

Re:

Department of Veteran Affairs Veteran Affairs Medical Center Fayetteville, Arkansas Case No. DA-CA-12-0385

Dear Messrs. Appel and Enderle:

Enclosed is a copy of the unfair labor practice charge which has been filed with this Office and assigned the case number shown above. To complete the investigation expeditiously, and to make a determination as to the merits of the charge, it is important that the parties cooperate fully during the ensuing investigation of the charge. You will be contacted shortly by the Agent who has been or will be assigned to investigate the charge. If you have any questions, please contact directly either the Agent or Regional Point of Contact indicated below.

If you are the party who filed the charge (Charging Party) and have not already done so, please submit the following so that it is **received** by this Office by July 6, 2012:

- A list of witnesses names, positions, day and evening telephone numbers, and a summary of their expected testimony about their personal knowledge of the charge;
- 2. Copies of all relevant documents, with an Index if submission is voluminous.

Section 2423.4(e) of the FLRA's Regulations requires that you provide this evidence/information. If you did not submit any evidence or information when you filed the charge and do not provide the material noted above so that this Office has **received** it by July 6, 2012, the charge may be dismissed for lack of cooperation. The Charging Party is responsible for confirming that all supporting evidence and information has been received by the date noted above.

If you are the party against whom this charge is filed (Charged Party), you are requested to review the allegations in the charge and submit a written position to this Office. You also are expected to cooperate fully in the investigation and will be asked by the Agent to supply documentary evidence or witnesses as is deemed necessary.

To assist both parties in understanding how an unfair labor practice charge is processed, I have enclosed an information sheet describing the investigatory process. Also, if someone other than you will be representing your party in this matter, please complete the enclosed "Notice of Designation of Representative."

Very truly yours,

James E. Petrucci Regional Director

Assigned Agent or Regional Point of Contact:

James Hughes, Attorney, 214-767-6266 ext. 8018, email: jhughes@flra.gov

Enclosed:

Description of Unfair Labor Practice Investigation Procedure

Notice of Designation of Representative

# DESCRIPTION OF THE UNFAIR LABOR PRACTICE INVESTIGATION PROCEDURE

#### What happens after the Regional Office receives a charge?

After the Regional Office receives a charge, the Region dockets the charge and gives it a case number. The Region then sends an opening letter to both parties with a copy of the charge, a notice of designation of representative form, and an information sheet on alternative dispute resolution services. The Region informs both parties that they are obligated to cooperate fully in the investigation and are encouraged to resolve their dispute informally.

#### Can the Regional Office transfer the charge to a different Regional Office?

Yes. Sometimes it is necessary to transfer a charge to avoid unnecessary costs or delay and to serve the purposes of the Statute. The charge is processed in the same manner no matter which Region processes it.

#### When will I first speak with the Agent?

Soon after the charge is filed, the assigned Agent contacts both parties and: (1) clarifies the allegation(s) in the charge; (2) describes each party's obligation to cooperate in the investigation; (3) reviews each party's evidence; (4) explains how the case will be investigated; and (4) determines which, if any, employees need official time to cooperate in the investigation.

#### Will the Agent help the parties resolve the dispute that led to the charge?

Yes. The General Counsel encourages parties to resolve informally unfair labor practice allegations after a charge is filed, but before the Regional Director has issued a complaint. As part of the investigation, the Agent will help the parties in informally resolving their dispute. The Charging Party may withdraw the charge at any stage of the investigation if the dispute has been resolved. There is more information on this topic in the ADR Services questions and answers.

#### How will the Region investigate the charge?

The Regions use a variety of investigative techniques to get the best possible, relevant evidence. The investigation may involve: (1) an on-site visit where the Agent takes signed and affirmed affidavits and gathers documents; (2) telephone affidavits; (3) questionnaires the parties sign and affirm; and (4) letters or emails confirming information discussed over the phone. The RD relies on this evidence to decide whether the ULP charge has merit. The Agent always notifies an agency before visiting the workplace.

#### When are employees entitled to official time?

If the Region determines it needs to speak with an employee as part of the investigation, the agency must grant the employee official time under section 7131(c) of the Statute. Employees are also entitled to reasonable official time when completing questionnaires or reviewing affidavits. The Agent arranges this time with the agency. The Regional Office does not arrange official time for employees who may need to gather information during the investigation. If an employee needs official time for that purpose, the employee should request official time from the agency. Whether or not the agency will grant official time depends on the parties' contract and past practices.

#### How do the parties cooperate with the Region during an investigation?

Cooperation includes, as determined by the Regional Director: (1) making union officials, employees and agency supervisors and managers available to give sworn/affirmed testimony; (2) producing documents related to the matter under investigation; (3) providing position statements; (4) and generally responding to all communications from the Agent.

#### What happens if a party does not cooperate in the investigation?

If a Charging Party fails to cooperate, the Regional Director may dismiss the charge for lack of cooperation. If a Charged Party fails to cooperate, an investigative subpoena could be issued.

#### When is an investigation complete?

An investigation is complete when each party has been given a reasonable opportunity to provide relevant evidence and there are enough facts for the Regional Director to make a decision about the charge.

#### What happens if the Regional Director determines the charge does not have merit?

If the Regional Director determines that the charge does not have merit and should be dismissed, the Charging Party is given a chance to withdraw the charge before the Regional Director issues a dismissal letter. If the Charging Party does not promptly withdraw the charge, the Regional Director issues a dismissal letter and serves it on the parties. The dismissal letter describes the allegation(s), the facts learned during the investigation, the law, and the reason the Regional Director dismissed the charge.

#### Can the Charging Party appeal the Regional Director's decision to dismiss a charge?

Yes. The Charging Party can appeal the dismissal to the Office of the General Counsel in Washington, D.C. The General Counsel may: (1) deny the appeal and close the case; (2) send the case back to the Region to do more investigation; or (3) send the case back to the Region where the Regional Director will issue a complaint or settle it. The Charging Party cannot appeal the General Counsel's decision to deny an appeal and close a case.

#### What happens if the Regional Director determines the charge has merit?

If the Regional Director determines there is enough evidence to issue a complaint, the Region, as the public prosecutor, tries to settle the charge before issuing a complaint. If the charge is not settled, the Regional Director issues a complaint and notice of hearing, and the case is set for trial before a FLRA Administrative Law Judge. The complaint sets forth the allegations and is served on all parties to the charge.



### UNITED STATES OF AMERICA

### FEDERAL LABOR RELATIONS AUTHORITY

## NOTICE OF DESIGNATION OF REPRESENTATIVE

	CASE	NO.
TO: Regional Director,		
I, the undersigned party, hereby designate address appears below. Said representative communications in this proceeding, excepting revocation is filed by me.	is to be served copies of all fo	rmal documents and written
Signature of party (please sign in ink)	Representative's na	me, address, zip code (print or type)
Title		
	Area Code	Telephone Number
	j	<u> </u>



#### UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY

# CHARGE AGAINST AN AGENCY

FOR	FLRA	1100	ONL
, on	1. M.D.W.	USE	ONL

Date Filed

Complete instructions are on the back of this form.	JUN 2 1 2012						
1. Charged Activity or Agency	2. Charging Party (Labor Organization or Individual)						
Name: Department of Veterans Affairs	Name: AFGE Local 2201						
Address: 1100 North College Av. Fayetteville, AR 72701	Address: 1100 North College Av. Fayetteville Ar						
Tel.#: (479) 443-4301 Ext. 65000	Tel.#: (479) 443-4301 Ext. 65100						
Fax#:	Fax#:						
3. Charged Activity or Agency Contact Information	4. Charging Party Contact Information						
Name: Dr. Enderle	Name: Bruce Appel						
Title: Director Health Care System of the Ozarks	Title: AFGE Local 2201 Firts Vice President						
Address: 1100 North College Ave. Fayetteville, Ar. 2701	Address: 1100 North College Ave. Fayetteville, AR 72701						
Tel.#: (479) 443-4301 Ext. 6500 Fax#:	Tel.#: (479) 443-4301 Ext. 65100						
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On 6/05/2012, I filed a first step grievance per our contract, as provided about the previous incident that was referred to it the	Novided. ( attachmnet A)						
Ull 0/14/4014   received an answer denving my grievance in the	a denial reference was made to a Foot Find an annual service of the service of th						
On 6/14/2012, I went to Human Resources to get a convict the	evidence file, as is our customary practice. Human Resources had						
On 6/14/2012 I submitted a request for information, to get the file	e on the Fact Finding ( a formal process) used by management in						
	ISD CODE IT WOULD take E minutes for a line of the contract of						
give it me, and I had a short turn around time to file a second step gripyance (attachment P)							
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	ie provided as requested / attacks = 1.45						
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	ery schedule. The information i asked for was not provided						
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why the time formal and the Local 2201 that the Agency denied a	a valid request of information by, first, not providing a valid reason						
Eact Finding.	a valid request of information by, first, not providing a valid reason ater information was provided, it did not include information from a						
Further, the Agency failed to negotiate in good faith during the ne	egotiating process of this grievance, by referencing material that						
does not exist, and trying to use evidence against a bargaining u untrue.	nit employee that was not in the evidence file, and is factually						
to comply with a valid request for information, in a truthful manner	the grievance, but simply to address the reluctance of the agency						
The state of the s	•						
7 1/000							
7. Have you or anyone else raised this matter in any other procedure?	No Yes If yes, where? [see reverse] Six And						
8. I DECLARE THAT I HAVE READ THIS CHARGE AND THAT THE ST	ATEMENTS IN IT ARE TRUE TO THE BEST OF MY KNOWLEDGE AND						
BELIEF, I UNDERSTAND THAT MAKING WILLFULLY FALSE STATEME 1001. THIS CHARGE WAS SERVED ON THE PERSON IDENTIFIED IMPROV	INTS CAN BE PHINISHED BY EINE AND IMPRICAMENTAL						
	#3 BY [check *k" box] Fax 1st Class Mail In Person						
Commercial Delivery Certified Mail	In Person						
Bruce Appel	r.\/						
1/1/1	06/18/2012						
Type or Print Your Name Your	Signature						



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY DALLAS REGION

A. Maceo Smith Federal Building

525 S. Griffin Street, Suite 926, LB 107 Dallas, Texas 75202-5093 (214)767-6266 FAX: (214)767-0156 www.flra.gov

August 24, 2012

Bruce Appel First Vice President AFGE Local 2201 1100 North College Avenue-Fayetteville, AR 72701

Re:

United States Department of Veterans Affairs

Veterans Affairs Medical Center

Fayetteville, Arkansas Case No. DA-CA-12-0385

Dear Mr. Appel:

CC:

This is to advise that your request to withdraw the charge in the above case is approved.

Very truly yours,

Regional Director

Mark Enderle, Director, VAMC, 1100 North College Avenue, Fayetteville, AR 72701.

## **ULP DATA ENTRY (revised February 2011)**

CASE NUMBER: DA-CA-12- 0385	DATE FILED: JUN 2 1 2012
PROFESSIONAL ASSIGNED:(Code P1)	DATE: CO/22
PROFESSIONAL REASSIGNED: (Code P2)	DATE:
PROFESSIONAL CO-ASSIGNED: (Code P3)	DATE:
OPENING LETTER ISSUED: (Code 01) OG 126/12	The state of the s
INITIAL ISSUE CODE:	
DISPOSITIVE ISSUE CODE:	
DESCRIPTION ( AN ENTRY MUST NOW BE MADE IN THIS BLC	OCK)
Exec. Order; Labor Relations forum activities or sec Raises novel or interesting issue Involves potentially sensitive issue Concerns an issue of nationwide impact that may obundaries Injunction requested by Charging Party Not Applicable	
COMMENTS: (e.g., Related Case Number or any other information	region would like to add)
KEY WORD CODES: (INSERT APPLICABLE CODE NUMB Example: Withdrawal Solicitation was After RD Determine	ER IN FOLLOWING ACTION TABLE) nation; record a "2" for Withdrawal Solicitation
Withdrawal Solicitation  1a) Prior to RD determination w/supervisory approval  1b) Prior to RD determination w/o supervisory approval  2) After RD determination  3) Unsolicited withdrawal	Scope 1) Dispositive action without the taking of formal evidence 2) Dispositive action prior to completion of full investigation
	Dispositive action after full investigation
Cooperation (by charged party)  1) Full cooperation with affidavits and witnesses  2) Agent talked to witnesses - no affidavits  3) Submission of documentary evidence  4) Submission of statement of position  5)Agent talked to charged party representative  6)Cooperation not required	Remedy 1) Backpay - dollar amount
Method 1) On-site affidavits and evidence 2) Telephone affidavits 3) Sworn questionnaire 4) Confirming letters 5) Unsworn questionnaire 6) On-site conversation	discussion, Weingarten, dues withholding, (a)(1) statement, prospective bargaining) 7) novel remedy (i.e., supervisory training) 8) other  Prosecutorial discretion 1) Yes
7) Talanhana/E-mail conversation	1) 165

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
ADR – No Resolution (AD1)		n/a
ADR – Partial Resolution (AD2)		n/a
ADR – Full Resolution (AD3)		n/a
Initial inquiry for injunctive relief (Code O2)		n/a
Expedited investigation for injunctive relief (Code 03)		n/ä
Blocked (Code B1)		Blocked by Case No.
Unblocked (Code B2)		n/a
Transferred to		AT (Code T1) BN (Code T2) CH (Code T3) DA (Code T4) DE (Code T5) SF (Code T6) WA(Code T7)
Transfer received by transferee Region (Code T8)		n/a
Withdrawal approved (Code W1)	08/24/12	Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Withdrawal rescinded (Code W2)		n/a
Withdrawal approved B secondary disposition (withdrawal after prior action) (Code W3)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Intervention started (Code I1)		n/a
Intervention ended (Code I2)		n/a
Advice requested (Code V1)		Injunction recommended by RegionYesNo
Advice decided (Code V2)		Advice Memo No.
		Dismiss charge Complaint Further investigation Telephone advice GC injunction recommended to FLRA
Full dismissal (Code D1)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Partial dismissal (Code D2)		Withdrawal (Solicitation)
Full dismissal secondary disposition (dismissal after prior action (Code D4)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion

Pattial dismissal - secondary disposition (Code D5)  ADR post-dispositive action - No Resolution (AD4)  ADR - post-dispositive action - Futil Resolution (AD5)  ADR - post-dispositive action - Futil Resolution (AD5)  File sent by Dismissing Region to OGC for Appeals review (Code A21)  Appeal file received by Dismissing Region from OGC (Code A27)  Dismissal rescinded (Code D3)  Private settlement - PSIWOC before RD ment determination (Code S1)  Private settlement - PSIWOC before RD ment determination (Code S1)  Precomplaint unilateral settlement (Code S2)  Precomplaint private settlement (Code S2)  Precomplaint private settlement (Code S3)  Postcomplaint (Code S3)  Postcomplaint (Code S3)  Postcomplaint (Code S3)  Postcomplaint unilateral settlement (Code S6)  Postcomplaint unilateral settlement (Code S7)  Postbearing settlement (Code S8)  Precomplaint unilateral settlement (Code S8)  Precomplaint u	ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
ADR – post-dispositive action – Partial Resolution (ADS)  ADR – post-dispositive action – Full Resolution (ADS)  ADR – post-dispositive action – Full Resolution (ADS)  File sent by Dismissing Region to OGC for Appeals review  (Code A21)  Dismissial resoluted (Code C3)  Private settlement – PSIWOC before RD ment determination  (Code S1)  — Precomplaint unilateral settlement (Code S2) — Precomplaint private settlement (Code S3) — Postcomplaint unilateral settlement (B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Precomplaint private settlement B secondary action (Code S1) — Remedy — Withdrawal (Solicitation) — Cooperation —	Partial dismissal – secondary disposition (Code D5)		Withdrawal (Solicitation)
ADR - post-dispositive action - Full Resolution (AD6)  File sent by Dismissing Region to OGC for Appeals review (Code A21)  Dismissal rescinded (Code D3)  Private settlement- PSINVOC before RD merit determination (Code S1)  Precomplaint unilateral settlement (Code S2)  Precomplaint private settlement (Code S3)  Precomplaint private settlement (Code S6)  Postcomplaint unilateral settlement (Code S6)  Postcomplaint unilateral settlement (Code S7)  Postberning settlement (Code S8)  Precomplaint (Code S9)  Precomplaint unilateral settlement (Code S7)  Precomplaint private settlement (Code S8)  Postcomplaint unilateral settlement (Code S7)  Precomplaint private settlement (Code S7)  Precomplaint private settlement (Code S8)  Precomplaint private settlement (Code S8)  Precomplaint private settlement (Code S8)  Precomplaint unilateral settlement (Code S8)  Precomplaint private se	ADR post-dispositive action – No Resolution (AD4)		n/a
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Precomplaint bilateral settlement (Code S4) Precomplaint private settlement (Code S4) (PSIWOC after RD merit determination)  Postcomplaint unilateral settlement (PSIWOC post complaint) (Code S5) Postcomplaint unilateral settlement (Code S6) Postcomplaint unilateral settlement (Code S7) Posthearing settlement (Code S8)  Formal settlement (Code S9)  Precomplaint unilateral settlement B secondary action (Code S10) Precomplaint bilateral settlement B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S12)  Precomplaint private settlement B secondary action (Code S11)  Stipulation filed with Authority (Code ST1)  Stipulation filed with Authority (Code ST1)  Trivate settlement—PSIWOC before RD merit determination (Code S13)  Stipulation filed with ALJ  Stipulation filed with ALJ  N/a  Stipulation filed with B case in abeyance (Code X1)  ULP complaint b case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  Welthod Scope Hearing date  Cooperation Method Scope Hearing date			Cooperation Method Scope
complaint) (Code S5) Postcomplaint unilateral settlement (Code S6) Postcomplaint bilateral settlement (Code S7) Postbaaring settlement (Code S8)  Formal settlement (Code S8)  Precomplaint unilateral settlement B secondary action (Code S10) Precomplaint bilateral settlement B secondary action (Code S11) Precomplaint bilateral settlement B secondary action (Code S12) (PSIWOC after RD merit determination) Private settlement—PSIWOC before RD merit determination (Code S13)  Stipulation filed with Authority (Code ST1)  In/a  Stipulation record found insufficient by ALJ  ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  ULP Hearing scheduled (Code JO)  Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  Hearing date  Cooperation Method Scope Hearing date	Precomplaint bilateral settlement) (Code S3) Precomplaint private settlement (Code S4)		Cooperation Method Scope
Precomplaint unilateral settlement B secondary action (Code \$10) Precomplaint bilateral settlement) B secondary action (Code \$11) Precomplaint private settlement B secondary action (Code \$12) (PSIWOC after RD merit determination) Private settlement—PSIWOC before RD merit determination (Code \$13)  Stipulation filed with Authority (Code \$T1)  Stipulation record found insufficient by ALJ  ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  ULP Hearing scheduled (Code JO)  ULP Hearing scheduled (Code JO)  Cooperation Method Scope Hearing date  Cooperation Method Scope Hearing date  Cooperation Method Scope Hearing date	complaint) (Code S5)  ——Postcomplaint unilateral settlement (Code S6)  ——Postcomplaint bilateral settlement (Code S7)		Remedy
action (Code S10) Precomplaint bilateral settlement) B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S12) (PSIWOC after RD merit determination) Private settlement—PSIWOC before RD merit determination (Code S13)  Stipulation filed with Authority (Code ST1)  Stipulation filed with ALJ  N/a  Stipulation record found insufficient by ALJ  ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Hearing date  Cooperation Method Scope Hearing date  Cooperation Method Scope Hearing date	Formal settlement (Code S9)		Remedy
Stipulation filed with ALJ  Stipulation record found insufficient by ALJ  ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  ULP Hearing scheduled (Code JO)  Cooperation  Method  Scope  Hearing date  Cooperation  Method  Scope  Hearing date	action (Code S10) Precomplaint bilateral settlement) B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S12) (PSIWOC after RD merit determination) Private settlement PSIWOC before RD merit		Cooperation Method Scope
Stipulation record found insufficient by ALJ  ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Cooperation  Method  Scope  Hearing date  Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  Hearing date  Method  Scope  Hearing date	Stipulation filed with Authority (Code ST1)		n/a
ULP complaint B case in abeyance (Code X1)  ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Cooperation  Method  Scope  Hearing date  Cooperation  No date  Cooperation  Method  Scope  Hearing date  Hearing date	Stipulation filed with ALJ		n/a
ULP complaint or appeal B case taken out of abeyance (Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Cooperation Method Scope Hearing date  Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  Hearing date  Cooperation Method Scope Hearing date	Stipulation record found insufficient by ALJ		n/a
(Code X-3)  RD determination to issue complaint reached (C4)  Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  RD determination to issue complaint reached (C4)  Cooperation  Method  Scope  Hearing date	ULP complaint B case in abeyance (Code X1)		
Complaint issued (Code C1)  ULP Hearing scheduled (Code JO)  Cooperation Method Scope Hearing date No date  Cooperation Method Scope Hearing date  Cooperation Method Scope Hearing date			
ULP Hearing scheduled (Code JO)  Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  Method  Cooperation  Method  Scope  Hearing date	RD determination to issue complaint reached (C4)		n/a
Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)  Cooperation  Method  Scope  Hearing date			Method Scope
after prior action) (Code C3)  Method Scope Hearing date	ULP Hearing scheduled (Code JO)		
	Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)		Method Scope
	ULP Hearing scheduled (Code JO)		

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
Request for Summary Judgment filed by OGC with ALJ (Code SJ1)		n/a
Request for Summary Judgment granted (Code SJ2)		Remedy OALJ Decision #
Motion for postponement of hearing (Code J1)		GC Charging Party Respondent
Ruling on motion for postponement of hearing (Code J2)		New hearing dateIndefinitely postponed
Complaint withdrawn (Code C2)		n/a
Hearing held – (enter information on the Hearing screen using the Hearings Data Entry sheet)		n/a
ALJ decision (enter this information on the Hearing screen using the Hearing Data Entry sheet)		Full violation Partial violation No violation
GC Exceptions filed (Code J6)		n/a
GC Opposition to exceptions filed (Code J7)		n/a
Cross-exceptions filed (Code J8)		n/a
FLRA ULP decision		CitationFull violation(Code A1)Remedy
(Also complete Hearings Data Entry sheet)		Partial violation(Code A1) Partial violation(Code A2) No violation (Code A3) Remanded to ALJ (Code A4)
Federal court appeal filed (Code CT1)		Name of court
Federal court decision issued (Code CT2)		Affirm Reverse Remand Modify Appeal withdrawn Enforce
Compliance initiated (Code C61)		
Noncompliance alleged (Code CM1)		n/a
Noncompliance action (Code CM2) referred to OGC for enforcement		n/a
Compliance completed (Code CM3)		n/a
Compliance not required — Court decision no violation (Code CM4)		n/a

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